



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lawrence M. Sherman  
Serial Number: 10/817,139 Examiner: Not Yet Assigned  
Filing Date: April 2, 2004 Art Unit: 3624  
For: SYSTEMS AND METHODS OF TARGETING SAVINGS

June 11, 2004  
Boston, Massachusetts

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Applicants request a Corrected Filing Receipt for the above-mentioned patent application. Applicants enclose a copy of the Filing Receipt with the corrections noted thereon, Express Mail Receipt and Postcard. Issuance of a corrected filing receipt is respectfully requested.
2. There are errors with respect to the following data, which are:  
 incorrectly entered  
*and/or*  
 omitted.

<i>Error in</i>	<i>Correct data</i>
1. <input type="checkbox"/> Applicants' name	
2. <input checked="" type="checkbox"/> Title	SYSTEMS AND METHODS OF TARGETING SAVINGS
3. <input type="checkbox"/> Filing Date	
4. <input type="checkbox"/> Serial Number	
5. <input type="checkbox"/> Foreign/PCT Application Re:	
6. <input type="checkbox"/> Continuing Data	

**APPLICANT:** Lawrence M. Sherman  
**U.S.S.N.:** 10/817,139

	<i>Error in</i>	<i>Correct data</i>
7. <input type="checkbox"/>	Other: Applicant claimed Small Entity status	

Although Applicant believes no fee is due in connection with this filing, the Commissioner is authorized to charge any additional fees that may be due to Deposit Account No. 50-0311, Ref. No. 21578-019PROB.

Respectfully submitted,



Carol H. Peters  
Registration No. 45,010  
Attorney for Applicant(s)  
c/o MINTZ, LEVIN  
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TRA 1934222v1



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lawrence M. Sherman

Serial Number: 10/817,139

Examiner: Not Yet Assigned

Filing Date: April 2, 2004

Art Unit: 3624

For: SYSTEMS AND METHODS OF TARGETING SAVINGS

June 29, 2004  
Boston, Massachusetts

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P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

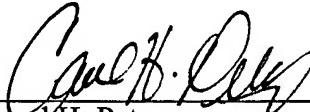
Sir:

Enclosed herewith for filing in the above-identified provisional patent application please find the following listed items:

1. Request for Corrected Filing Receipt (1 pg);
2. Copy of Original Filing Receipt (2 pgs);
3. Copy of Postcard;
4. Copy of Express Mail Receipt with date stamp; and
5. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-0311, Reference 07473-038. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

  
 Carol H. Peters  
 Registration No. 45,010  
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 Fax: (617) 542-2241

Dated: June 29, 2004



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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 P.O. Box 1450  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/817,139	04/02/2004	3624	1103	07473-038	9	95	4

CONFIRMATION NO. 1499

## FILING RECEIPT



\*OC000000013041803\*

MINTZ, LEVIN, COHN, FERRIS  
 GLOVSKY and POPEO, P.C.  
 One Financial Center  
 Boston, MA 02111

Date Mailed: 06/23/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Lawrence M. Sherman, Westport, CT;

Done By *[Signature]*

<input checked="" type="checkbox"/> Data Entry	_____
<input type="checkbox"/> Docket Entry	_____
<input checked="" type="checkbox"/> Docket Cross Off	_____
<input type="checkbox"/> Previously Entered	_____
<input type="checkbox"/> No Docketing Req.	_____
<input type="checkbox"/> ELITE	_____
<input type="checkbox"/> Annuities	_____

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted: 06/23/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Systems and methods targeting savings

## Preliminary Class

705

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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# BEST AVAILABLE COPY

Serial No. Unassigned File No. 07473-038 By: CHP/mjn

Title: Systems and Methods of Targeting Savings

Application of Sherman Date:

The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

- |  |  |
|--|--|
| <input type="checkbox"/> Req for CPA under 37 CFR 1.53(d)  | <input type="checkbox"/> Provisional Application Cover Sheet             |
| <input type="checkbox"/> Change of Attorney's Address  | <input type="checkbox"/> Inf. Discl. Statement, PTO Form 1449            |
| <input type="checkbox"/> New Power of Attorney   | <input type="checkbox"/> References Cited                                |
| <input checked="" type="checkbox"/> Patent Application   | <input type="checkbox"/> Copy of Notice to File Missing Parts            |
| <input checked="" type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional <b>Cover sheet</b><br>Incl 40 pages, ( 27 pgs) Specification, (1 pg.) | <input type="checkbox"/> Amendment/Response                              |
| <input checked="" type="checkbox"/> Abstract, ( 1 pgs) Claims ( 95 # claims)   | <input type="checkbox"/> Petition for Ext. of Time (x2)                  |
| <input type="checkbox"/> Design Patent Application   | <input type="checkbox"/> Issue Fee Transmittal                           |
| <input checked="" type="checkbox"/> Declaration(s) <u>(unsigned)</u>   | <input type="checkbox"/> Letter to Official Draftsperson                 |
| <input checked="" type="checkbox"/> Drawings 9 sheet(s) (FIGS. 1 - 8 )   | <input type="checkbox"/> Notice of Appeal                                |
| <input type="checkbox"/> Formal <input checked="" type="checkbox"/> Informal   | <input type="checkbox"/> Brief (x3)                                      |
| <input type="checkbox"/> Verified Statement claiming small entity status   | <input checked="" type="checkbox"/> Check for \$ 1060 Check # 18393      |
| <input type="checkbox"/> Assignment and Cover Sheet  | <input checked="" type="checkbox"/> Transmittal Letter (x2)              |
|  | <input type="checkbox"/> Cert. of Mailing under 37 CFR 1.8(a)            |
|  | <input checked="" type="checkbox"/> Express Mail Label No. ET176339587US |

Other Request for New Nonprovisional Application  
Pursuant to 37 C.F.R. 1.53(b)

DATE MAILED 4/2/04

Serial No. Unassigned File No. 07473-038 By: CHP/mjn ✓

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|  | <input checked="" type="checkbox"/> Express Mail Label No. ET176339587US |

Other Request for New Nonprovisional Application  
Pursuant to 37 C.F.R. 1.53(b) 22387 U.S. PTO  
10/817139

DATE MAILED 4/2/04



